

07 - 03 - 00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): George Robert Hood  
 Docket: 9011  
 Title: RISK PROVISION IMPLEMENTATION FOR FINANCIAL PROCESSING IN A  
 RELATIONAL DATABASE MANAGEMENT SYSTEM

**CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

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I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: *Suzie J. McCleave*

Name: Suzie J. McCleave

**BOX PATENT APPLICATION**

Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate Of Mailing Under 37 CFR 1.10.
- ☒ Utility Patent Application: Spec. 25 pgs; 81 claims; Abstract 1 pg(s).  
 The fee has been calculated as shown below in the "Claims as Filed" table.
- ☒ 3 sheets of Drawings
- ☒ A signed Declaration and Power of Attorney
- ☒ Assignment of the invention to NCR Corporation, Recordation Form Cover Sheet
- ☒ A charge to Deposit Account in the amount of \$1,788.00 to cover the Filing Fee
- ☒ A charge to Deposit Account in the amount of \$40.00 to cover the Assignment Recordation Fee.
- ☒ Return postcard

**CLAIMS AS FILED**

| Number of Claims Filed              | In Excess of: | Number Extra | Rate      | Fee               |
|-------------------------------------|---------------|--------------|-----------|-------------------|
| <b>Basic Filing Fee</b>             |               |              |           | \$690.00          |
| <b>Total Claims</b>                 |               |              |           |                   |
| 81                                  | 20            | 61           | x \$18.00 | = \$1,098.00      |
| <b>Independent Claims</b>           |               |              |           |                   |
| 3                                   | 3             | 0            | x \$78.00 | = \$0.00          |
| <b>MULTIPLE DEPENDENT CLAIM FEE</b> |               |              |           | \$0.00            |
| <b>TOTAL FILING FEE</b>             |               |              |           | <b>\$1,788.00</b> |

Please charge all fees to Deposit Account No. 14-0225 of NCR Corporation (the assignee of the present application).  
 A duplicate of this sheet is enclosed.

**GATES & COOPER**

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